

Audit

- 👍 Manage your program from planning through issue resolution
- 👍 Real time visibility of status and issues
- 👍 Assure consistent audit standards and processes
- 👍 Automate data collection, test and review workflows and issue follow up
- 👍 Automate collection of test samples and evidence
- 👍 Rich reporting and visualizations

Create an effective and efficient Audit program. With DoubleCheck's flexible web-based solution, you will be able to plan, execute and report on your audit program. DoubleCheck Audit will reliably perform the administrative and operational program management, data collection and management, workflow management and reporting, allowing auditors to be efficient and effective, with a focus on value-added insight.

Highlights

User Interfaces personalized to the roles and responsibilities of the individual. Users will see the information they need and be guided through their responsibilities, maximizing user satisfactions and minimizing costs of training.

All key audit functionality: planning, engagement management, execution management, work paper and evidence management (including automating the collection of "provided by client" evidence), final report generation and finding resolution management.

Automated workflow management, assuring assignments, due dates and reviews are managed through their lifecycle.

Demonstrable, auditable program with ability to link and report on audits relative to risk, compliance, policy or regulatory requirements.

Functionality to work either online and offline, ensuring that all data is synced and current.

A complete set of reports and dashboards, each tailored to the needs of audit managers, auditors and boards/management.

A rich set of underlying technical capabilities that enable growth as your audit planning program evolves.



Key Benefits

- ✔ Build and manage your audit plan based on your organization's risk profile, regulatory requirements, past performance and company specific criteria.
- ✔ Manage your audit universe, schedule audits and manage the process from engagement planning, through testing, review, management response to final report generation.
- ✔ Track audit status as well as management actions for findings/issue resolution.
- ✔ Automatically collect PBC data with optional notifications when data collection is complete.
- ✔ Ability to download data from application onto spreadsheets for offline work. Uploading updated spreadsheets will update system data.
- ✔ Keep stakeholders informed with schedule or event based notifications, real-time dashboards, and board-ready reports.
- ✔ Utilize Embedded Business Intelligence (EBI) tools to find, filter, analyze and visualize data and results.

Build an Audit Program based on an objective assessment of your Audit Universe

Identify your universe of auditable entities and potential audits. Score each audit by your defined set of criteria, including management risk assessment, audit team risk assessment, past audit results, regulatory requirements, time elapsed since last audit and other criteria you specify. Based on scoring results, audit time requirements and available resources, the system will propose an in-plan list for management review, updates and Audit Committee/Board certification.

Manage the Audit Plan and Results

Once the plan is approved, use the tools to schedule audit execution, manage updates to the plan and communicate status and results to management and the Audit Committee. Capture the results of audits, including scores, issues/findings, and final reports. Provide ongoing status of results to stakeholders on a schedule or event basis.



Capture Audit Actions and Results

Use real time dashboards and reports to get a consolidated view of change management status and results. Filter results for organization or entity specific views. Create Board ready reports for Audit Planning or Program Status. Get automatic updates of key information, such as reviews past due. Email information in multiple formats, including interactive PDFs, Excel files and reports.

Assure Issues and Findings are Resolved

Issues and findings need to be resolved. With DoubleCheck Audit Planning, issues and findings can be assigned to responsible staff, and the system will follow up assuring resolution by the agreed-to date. The system can require evidence of remediation, enforce a review of the remediation and provide regular updates to management and remind staff regarding open issues. And when linked to the DoubleCheck Compliance or Risk Management solutions, audit findings can be used to update risk or compliance status and drive appropriate actions in those areas.



ABOUT DOUBLECHECK

DoubleCheck™ LLC is a leading enterprise-level governance, risk management, compliance and audit solutions software company. The DoubleCheck GRC and audit platform can automate and unify on a single platform any or all of any organization's governance, risk management, compliance and testing (GRC and audit) activities. This includes key requirements such as Sarbanes-Oxley compliance, corporate governance, risk and audit management. The solution is highly configurable, offering adaptations to easily fit each client's needs.